UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/659,297	09/11/2003	Nobumasa Suzuki	P24194	3563
7055 GREENBLUM	7590 04/30/2007 1 & BERNSTEIN, P.L.C.		EXAMINER	
1950 ROLANI	D CLARKE PLACE		PHILOGENE, PEDRO	
RESTON, VA	20191		ART UNIT PAPER NUMBER	
			3733	
SHORTENED STATUTOR	RY PERIOD OF RESPONSE	NOTIFICATION DATE	DELIVERY MODE	
3 MC	ONTHS	04/30/2007	ELECTRONIC	

## Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

Notice of this Office communication was sent electronically on the above-indicated "Notification Date" and has a shortened statutory period for reply of 3 MONTHS from 04/30/2007.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

gbpatent@gbpatent.com pto@gbpatent.com

				P.
*		Application No.	Applicant(s)	
Office Action Summary		10/659,297	SUZUKI ET AL.	
		Examiner	Art Unit	
		Pedro Philogene	3733	
Period fo	The MAILING DATE of this communication app or Reply	ears on the cover sheet with the c	correspondence address -	<b></b>
A SHOWHIC - External after - If NO - Failu Any (	ORTENED STATUTORY PERIOD FOR REPLY CHEVER IS LONGER, FROM THE MAILING DANSIONS of time may be available under the provisions of 37 CFR 1.15 SIX (6) MONTHS from the mailing date of this communication. It period for reply is specified above, the maximum statutory period were to reply within the set or extended period for reply will, by statute reply received by the Office later than three months after the mailing and patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be tire will apply and will expire SIX (6) MONTHS from , cause the application to become ABANDONE	N. mely filed the mailing date of this communicate (35 U.S.C. § 133).	
Status				
<u> </u>	Responsive to communication(s) filed on <u>12 Fe</u> This action is <b>FINAL</b> . 2b) ☑ This Since this application is in condition for allowar closed in accordance with the practice under E	action is non-final.  nce except for formal matters, pre-		s is
Dispositi	on of Claims	•	•	
5)⊠ 6)⊠ 7)□	Claim(s) 3,4,6 and 11 is/are pending in the apparate of the above claim(s) is/are withdraw Claim(s) 3 is/are allowed.  Claim(s) 4,6,11 is/are rejected.  Claim(s) is/are objected to.  Claim(s) are subject to restriction and/o	wn from consideration.		
Applicati	on Papers			
9) 10)	The specification is objected to by the Examine The drawing(s) filed on is/are: a) accomplicant may not request that any objection to the Replacement drawing sheet(s) including the correct The oath or declaration is objected to by the Examine	epted or b) objected to by the drawing(s) be held in abeyance. Se tion is required if the drawing(s) is ob	e 37 CFR 1.85(a). ejected to. See 37 CFR 1.12	
Priority u	ınder 35 U.S.C. § 119			
12) 🔲 a)	Acknowledgment is made of a claim for foreign All b) Some * c) None of:  1. Certified copies of the priority document 2. Certified copies of the priority document 3. Copies of the certified copies of the priority document application from the International Bureau See the attached detailed Office action for a list	s have been received. s have been received in Applicat rity documents have been received u (PCT Rule 17.2(a)).	ion No ed in this National Stage	
2)  Notic 3)  Infor	t(s) se of References Cited (PTO-892) se of Draftsperson's Patent Drawing Review (PTO-948) mation Disclosure Statement(s) (PTO/SB/08) sr No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail D 5) Notice of Informal F 6) Other:	ate	

### Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 2/12/07 has been entered.

### Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim11 is rejected under 35 U.S.C. 101 because it is drawn to non-statutory subject matter. In claim 11, line10, applicant positively recites part of a human, i.e. "an engaging member to be inserted in a bone". Thus claim 11 includes a human within its scope and is non-statutory.

A claim directed to or including within its scope a human is not considered to be patentable subject matter under 35 U. S.C. 101. The grant of a limited, but exclusive property right in a human being is prohibited by the constitution. In re Wakefield, 422 F. 2d 897, 164 USPQ 636 (CCPA 1970).

Claim Rejections - 35 USC § 103

Application/Control Number: 10/659,297

**Art Unit: 3733** 

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 6,11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burgess et al. (20030114853) in view of Altarac et al. (6,616,668).

With respect to claim 6, Burgess et al disclose a rod connector (66) comprising a connector main body (76) swingably attached to a shank (68), the connector main body comprising a recess configured to engage part of a spherical end portion (72) of the shank, part of the spherical end portion extending outside of the recess in a direction towards the shank; as best seen in FIG.5 a rod supporting portion, as best seen in FIG.5, provided in the connector main body and configured for supporting a rod (12); as best seen in the FIGURES; and a pressure fixing device (34) for pressure fixing the rod to the rod supporting portion of the connector main body; as best seen in the FIGS.

It is noted that Burgess did not teach of a Flange portion arranged coaxially with the shank; as claimed by applicant. However, in a similar art, Altarac et al evidence the use of a flange portion to limit the distance a member can travel into a bore and prevent the removal of the second member.

Therefore, give the teaching of Altarac et al., it would have been obvious to one having ordinary skill in the art, at the time the invention was made to modify the flange of Burgess, as taught by Altarac et al, to limit the distance of travel and to prevent the removal of the second member

Claim 4 is rejected under 35 U.S.C. 103(a) as being unpatentable over Burgess et al. (20030114853) in view of Rokegem et al. (5,630,817.

With respect to claim 4, it is noted that Burgess et al teach all the limitations, except for the rod supporting portion comprising a rough surface, as claimed by applicant. However, in a similar art, Rokegem et al., column 4, lines 45-51, evidences the use of a rod-supporting portion having rough surface to increase the adherence through friction between the rod and the body.

Therefore, given the teaching of Rokegem et al, it would have been obvious to one having ordinary skill in the art, at the time the invention was made to modify the rod securing portion of Burgess et al; as taught by Rokegem et al to increase the adherence through friction between the rod and the body. As to the method used to roughen the surface, the result is the same; for example providing frictional resistance between the rod and the rod supporting portion.

# Response to Amendment

Applicant's arguments, see Remarks, filed 12/13/06, with respect to the rejection(s) of claim(s) 4,6, under 102/103 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of Rokegem/Altarac et al.

## Allowable Subject Matter

Art Unit: 3733

Claim 3 is allowed.

### Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

5,938,663

8-1999

Petreto

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Pedro Philogene whose telephone number is (571) 272-4716. The examiner can normally be reached on Monday to Friday 6:30 AM to 4:00 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Eduardo Robert can be reached on (571) 272 - 4719. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 3733

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Pedro Philogene April 18, 2007